

Natural Language Processing

Lecture 2—8/27/2015
Jim Martin

Today

- Review and finish from last time
- Finite-state methods

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Categories of Knowledge

- Phonology
- Morphology
- Syntax
- Semantics
- Pragmatics
- Discourse

Each kind of knowledge has associated with it an encapsulated set of processes that make use of it.

Interfaces are defined that allow the various levels to communicate.

This often leads to a pipeline architecture.

```
graph LR; A[Morphological Processing] --> B[Syntactic Analysis]; B --> C[Semantic Interpretation]; C --> D[Context];
```

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Ambiguity

- Ambiguity is a fundamental problem of computational linguistics
- Managing ambiguity is a central problem in NLP

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Problem

- Remember our pipeline...

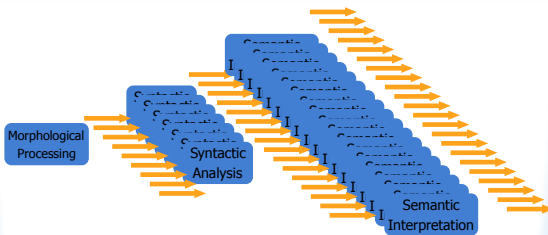


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Problem



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Algorithms

- Many of the algorithms that we'll study will turn out to be **transducers**; algorithms that take one kind of structure as input and output another.
- Unfortunately, ambiguity makes this process difficult. This leads us to employ algorithms of various sorts that are designed to manage ambiguity

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Paradigms

- In particular..
 - ♦ State-space search
 - To manage the problem of making choices during processing when we lack the information needed to make the right choice
 - A*, beam search
 - ♦ Dynamic programming
 - To avoid having to redo work during the course of a state-space search
 - CKY, Minimum Edit Distance, Viterbi, Baum-Welch
 - ♦ Classifiers
 - Machine learning based classifiers that are trained to make decisions based on features extracted from the local context
 - Used to decide among ambiguous choices and then move on (hoping that the right choice was made).

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State Space Search

- States represent pairings of partially processed inputs with partially constructed representations.
- Goals are inputs paired with completed representations that satisfy some criteria.
- As with most interesting problems the spaces are normally too large to exhaustively explore.
 - ♦ We need heuristics to guide the search
 - ♦ Criteria to trim the space

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Dynamic Programming

- Don't do the same work over and over.
- Avoid this by building and making use of solutions to sub-problems that must be invariant across all parts of the space.

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Break

- Rest of today is Chapter 2 in J&M

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Admin Questions?

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Regular Expressions and Text Searching

- Regular expressions are a compact textual representation of a set of strings that constitute a language
 - ♦ In the simplest case, regular expressions describe **regular languages**
 - Here, a **language** means a set of strings given some alphabet.
- Extremely versatile and widely used technology
 - ♦ Emacs, vi, perl, grep, etc.

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Example

- Find all the instances of the word “the” in a text.
 - ♦ `/the/`
 - ♦ `/[tT]he/`
 - ♦ `/\b[tT]he\b/`

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Errors

- The process we just went through was based on **two fixing kinds of errors**
 - ♦ Matching strings that we should not have matched (**there, then, other**)
 - **False positives (Type I)**
 - ♦ Not matching things that we should have matched (**The**)
 - **False negatives (Type II)**

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Errors

- We'll be telling the same story with respect to evaluation for many tasks. Reducing the error rate for an application often involves two **antagonistic** efforts:
 - ♦ Increasing accuracy, or **precision**, (minimizing false positives)
 - ♦ Increasing coverage, or **recall**, (minimizing false negatives).

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3 Formalisms

- Recall that I said that regular expressions describe languages (sets of strings)
- Turns out that there are 3 formalisms for capturing such languages, each with their own motivation and history
 - ♦ **Regular expressions**
 - Compact textual strings
 - Perfect for specifying patterns in programs or command-lines
 - ♦ **Finite state automata**
 - Graphs
 - ♦ **Regular grammars**
 - Rules

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3 Formalisms

- These three approaches are all equivalent in terms of their ability to capture regular languages. But, as we'll see, they do inspire different algorithms and frameworks

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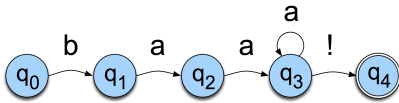
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FSA as Graphs

- Let's start with the sheep language from Chapter 2

• /baa+!/



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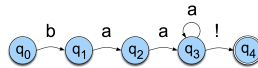
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Sheep FSA

- We can say the following things about this machine

- It has 5 states
- b, a, and ! are in its alphabet
- q₀ is the start state
- q₄ is an accept state
- It has 5 transitions



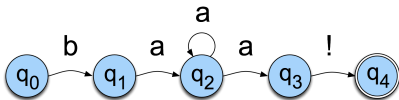
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But Note

- There are other machines that correspond to this same language



- More on this one later

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More Formally

- You can specify an FSA by enumerating the following things.
 - The set of states: Q
 - A finite alphabet: Σ
 - A start state
 - A set of accept states
 - A transition function that maps $Q \times \Sigma$ to Q

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About Alphabets

- Don't take term *alphabet* word too narrowly; it just means we need a finite set of symbols in the input.
- These symbols can and will stand for bigger objects that may in turn have internal structure
 - Such as another FSA

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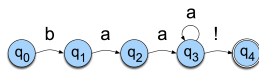
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Yet Another View

- The guts of an FSA can ultimately be represented as a table

If you're in state 1 and you're looking at an a, go to state 2

	b	a	!	
0	1			
1		2		
2		3		
3		3	4	
4				



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Recognition

- Recognition is the process of determining if a string should be accepted by a machine
- Or... it's the process of determining if a string is in the language we're defining with the machine
- Or... it's the process of determining if a regular expression matches a string
- **Those all amount the same thing in the end**

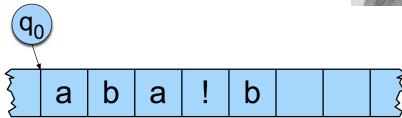
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Recognition

- Traditionally, (Turing's notion) this process is depicted with an input string written on a tape.



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Recognition

- Simply a process of starting in the start state
- Examining the current input
- Consulting the table
- Going to a new state and updating the tape pointer.
- Until you run out of tape.

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D-Recognize

```
function D-RECOGNIZE(tape, machine) returns accept or reject
  index ← Beginning of tape
  current-state ← Initial state of machine
  loop
    if End of input has been reached then
      if current-state is an accept state then
        return accept
      else
        return reject
    elsif transition-table[current-state, tape[index]] is empty then
      return reject
    else
      current-state ← transition-table[current-state, tape[index]]
      index ← index + 1
  end
```

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Key Points

- Deterministic means that at each point in processing there is always one unique thing to do (no choices; no ambiguity).
- D-recognize is a simple table-driven interpreter
- The algorithm is universal for all unambiguous regular languages.
 - ♦ To change the machine, you simply change the table.

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Key Points

- Crudely therefore... matching strings with regular expressions (ala Perl, grep, etc.) is a matter of
 - ♦ translating the regular expression into a machine (a table) and
 - ♦ passing the table and the string to an interpreter that implements D-recognize (or something like it)

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Recognition as Search

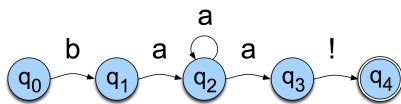
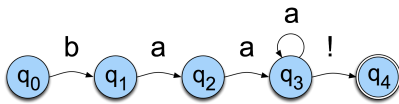
- You can view this algorithm as a **trivial** kind of *state-space search*
- Search states are pairings of tape positions and state numbers
- Operators are compiled into the table
- Goal state is a pairing with the end of tape position and a final accept state
- Why is it trivial?

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Non-Determinism



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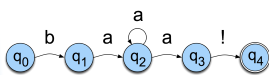
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Table View

Allow multiple entries in the table to capture non-determinism

	b	a	!	
0	1			
1		2		
2		2,3		
3			4	
4				



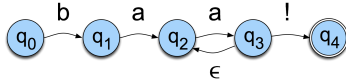
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Non-Determinism cont.

- Yet another technique
 - Epsilon transitions
 - Key point: these transitions do not examine or advance the tape during recognition



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Equivalence

- Non-deterministic machines can be converted to deterministic ones with a fairly simple construction
- That means that they have the same power; non-deterministic machines are not more powerful than deterministic ones in terms of the languages they can and can't characterize

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ND Recognition

- Two basic approaches (used in all major implementations of regular expressions, see Friedl 2006)
 1. Take a ND machine and convert it to a D machine and then do recognition with that.
 2. Or explicitly manage the process of recognition as a state-space search (leaving the machine/table as is).

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Non-Deterministic Recognition: Search

- In a ND FSA there exists at least one path through the machine for a string that is in the language defined by the machine.
- But not all paths directed through the machine for an accept string lead to an accept state.
- No paths through the machine lead to an accept state for a string not in the language.

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Non-Deterministic Recognition

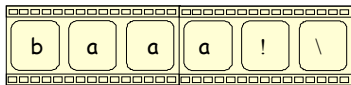
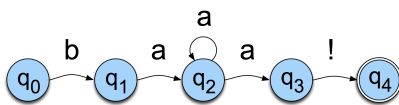
- So success in non-deterministic recognition occurs when a path is found through the machine that ends in an accept.
- Failure occurs when all of the possible paths for a given string lead to failure.

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Example



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Example

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Example

1

2

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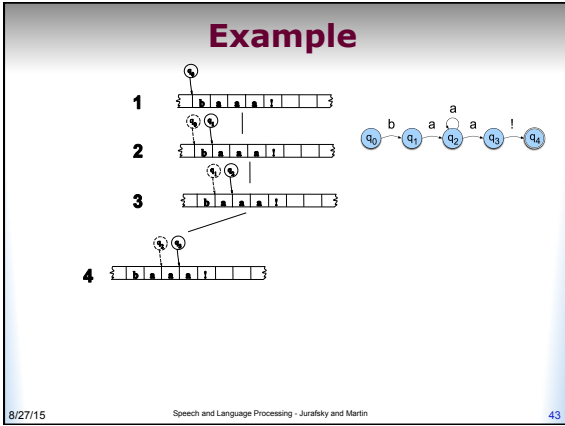
Example

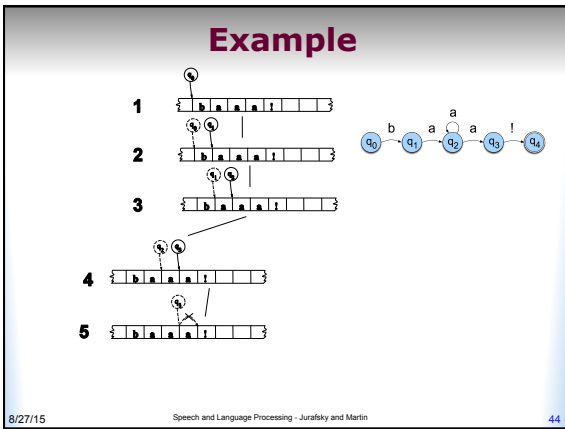
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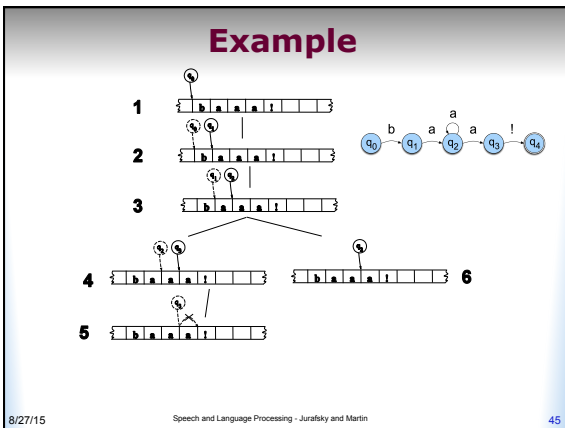
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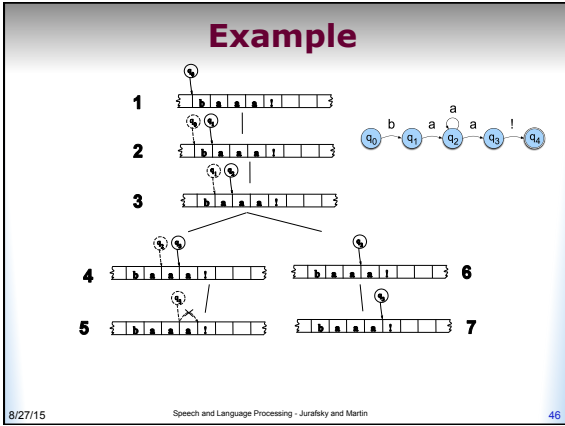
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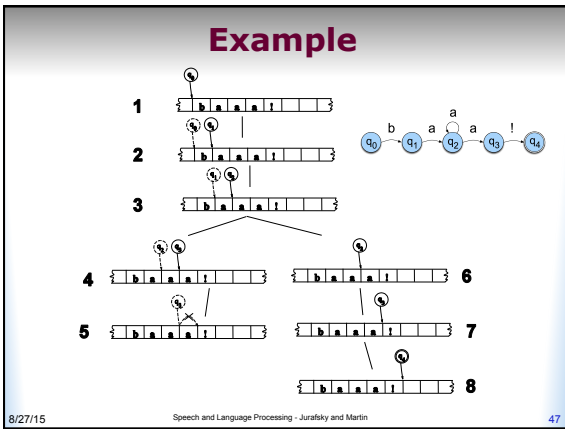
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- ### Key Points
- States in the search space are **pairings of tape positions and states** in the machine.
 - By keeping track of **as yet unexplored states**, a recognizer can systematically explore all the paths through the machine given an input.
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Why Bother?

- Non-determinism doesn't get us more formal power and it causes headaches so why bother?
 - ♦ More natural (understandable) solutions
 - ♦ Not always obvious to users whether or not the regex that they've produced is non-deterministic or not
 - Better to not make them worry about it

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Next Week

- Determinization (NFA to DFA) construction
- Composing finite state machines
- New draft Chapter 2 material
 - ♦ Basic practical text processing
 - ♦ Minimum edit distance and dynamic programming

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