

# Dynamic Adaptation in an Image Transcoding Proxy For Mobile Web Browsing

Pravin Bhagwat, Richard Han, Richard LaMaire, Todd Mummert,  
Veronique Perret, Jim Rubas  
*Mobile Networking Group*

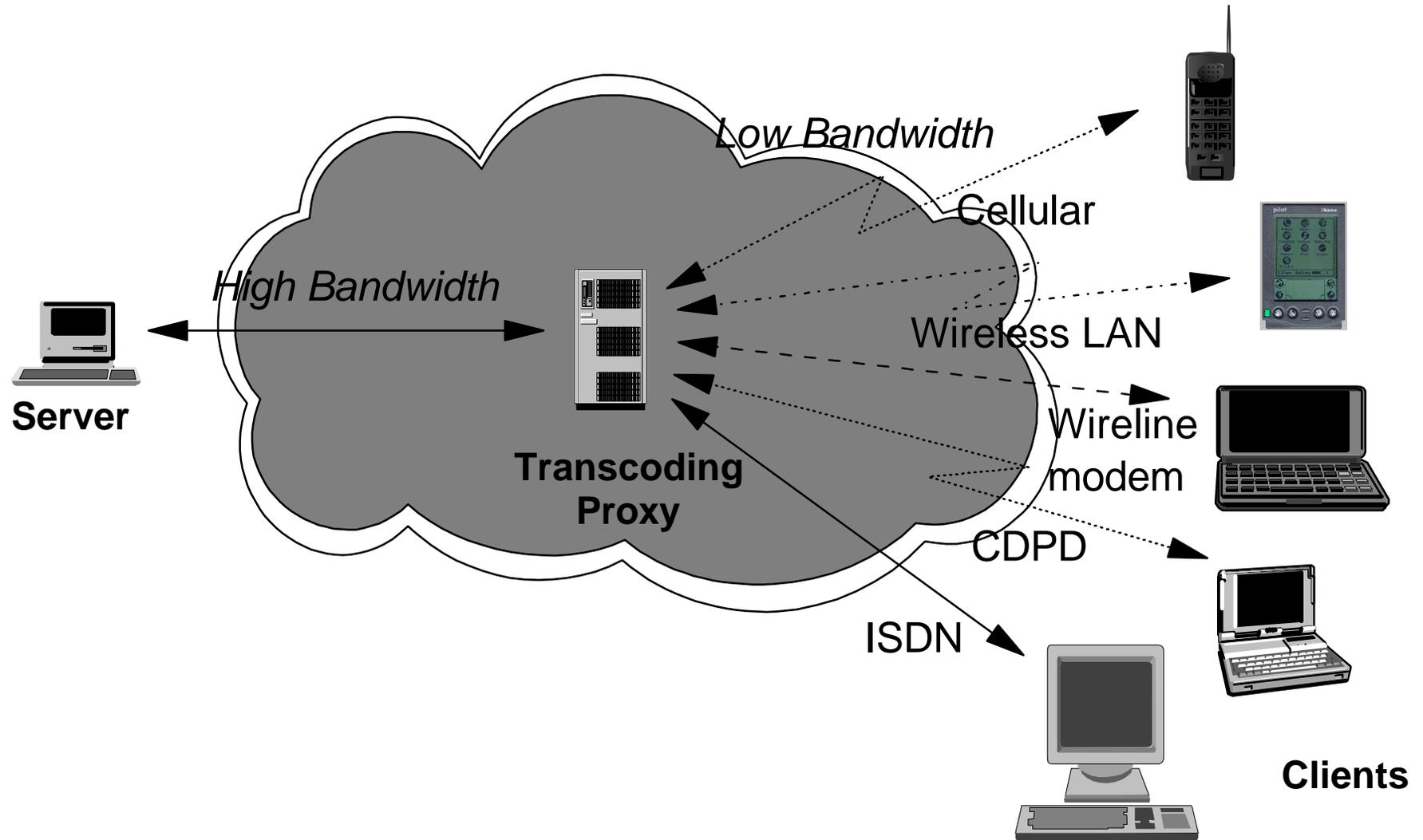
Chung-Sheng Li, Rakesh Mohan, John R. Smith  
*Image Information Systems Group*

*Contact: Rick Han (rhan@watson.ibm.com)*

# Outline

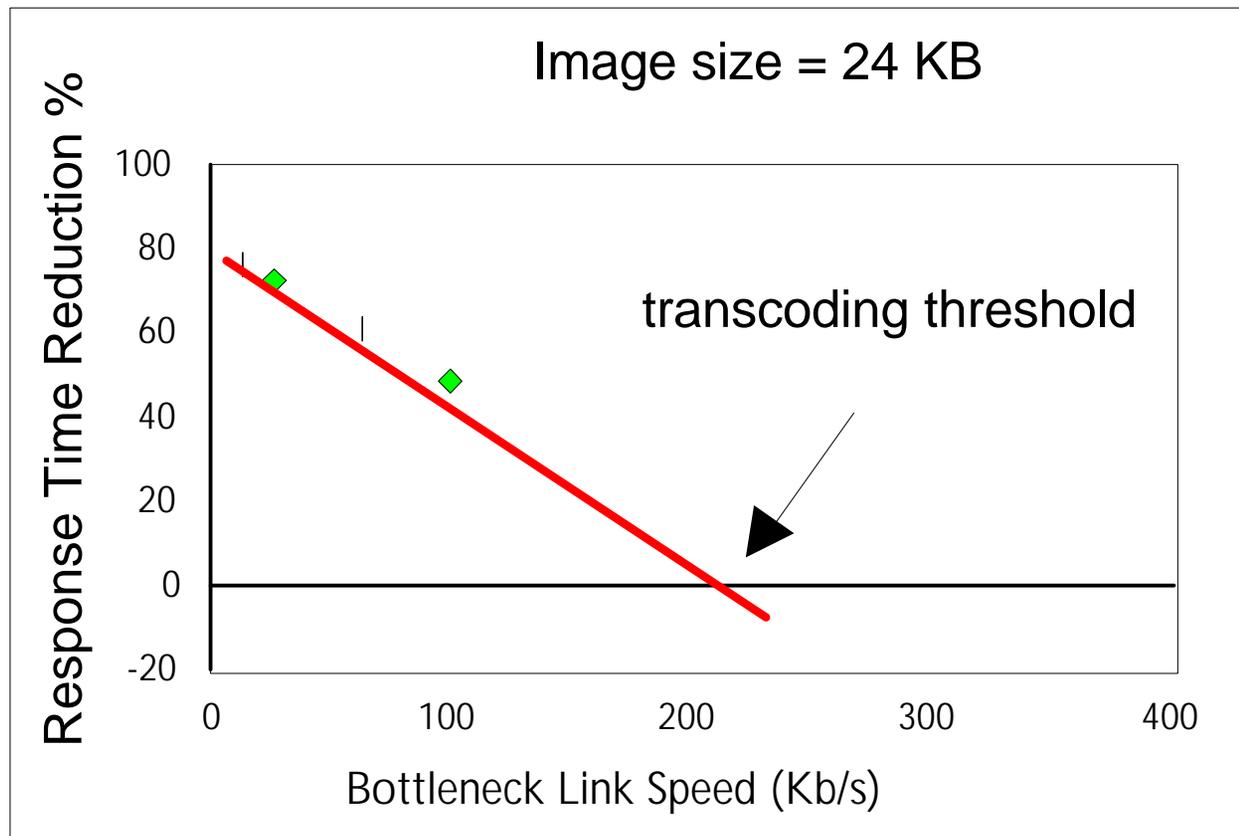
- Architecture
- Analysis: when and when not to transcode
  - ▶ Case I: Store-and-forward
    - Image size prediction
    - Image delay prediction
    - Bandwidth estimation
  - ▶ Case II: Streaming
- Practical rules
  - ▶ GIF/JPEG
- Other transcoding proxies
- Summary

# Transcoding Proxy Environment



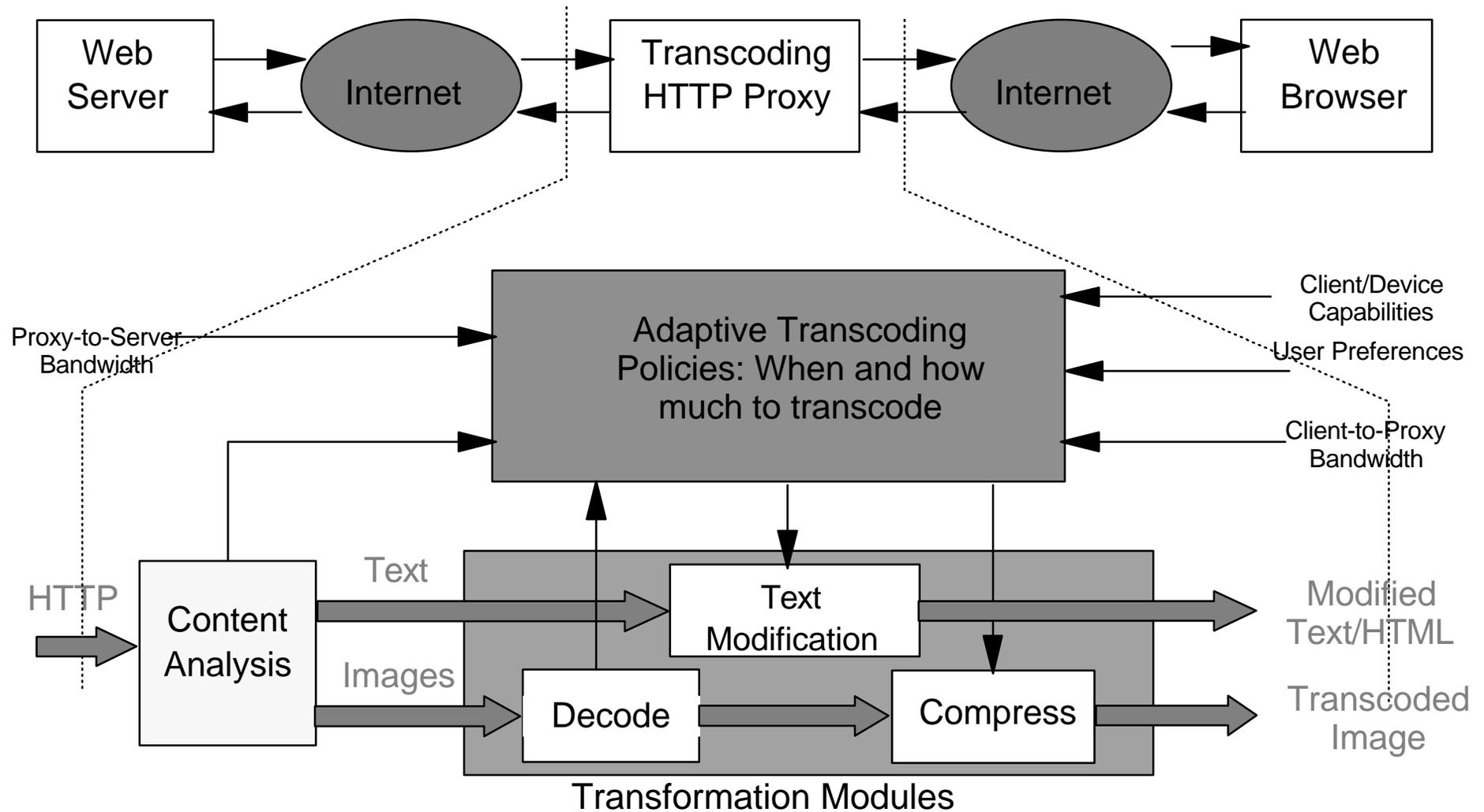
# Reasons to Transcode

- A transcoder
  1. converts formats => tailoring of data to multiple devices (e.g. Palm PDA)
  2. permits compression
    - Reduced response time via compression over low-bandwidth links.
    - Cost reduction via compression over tariffed links.

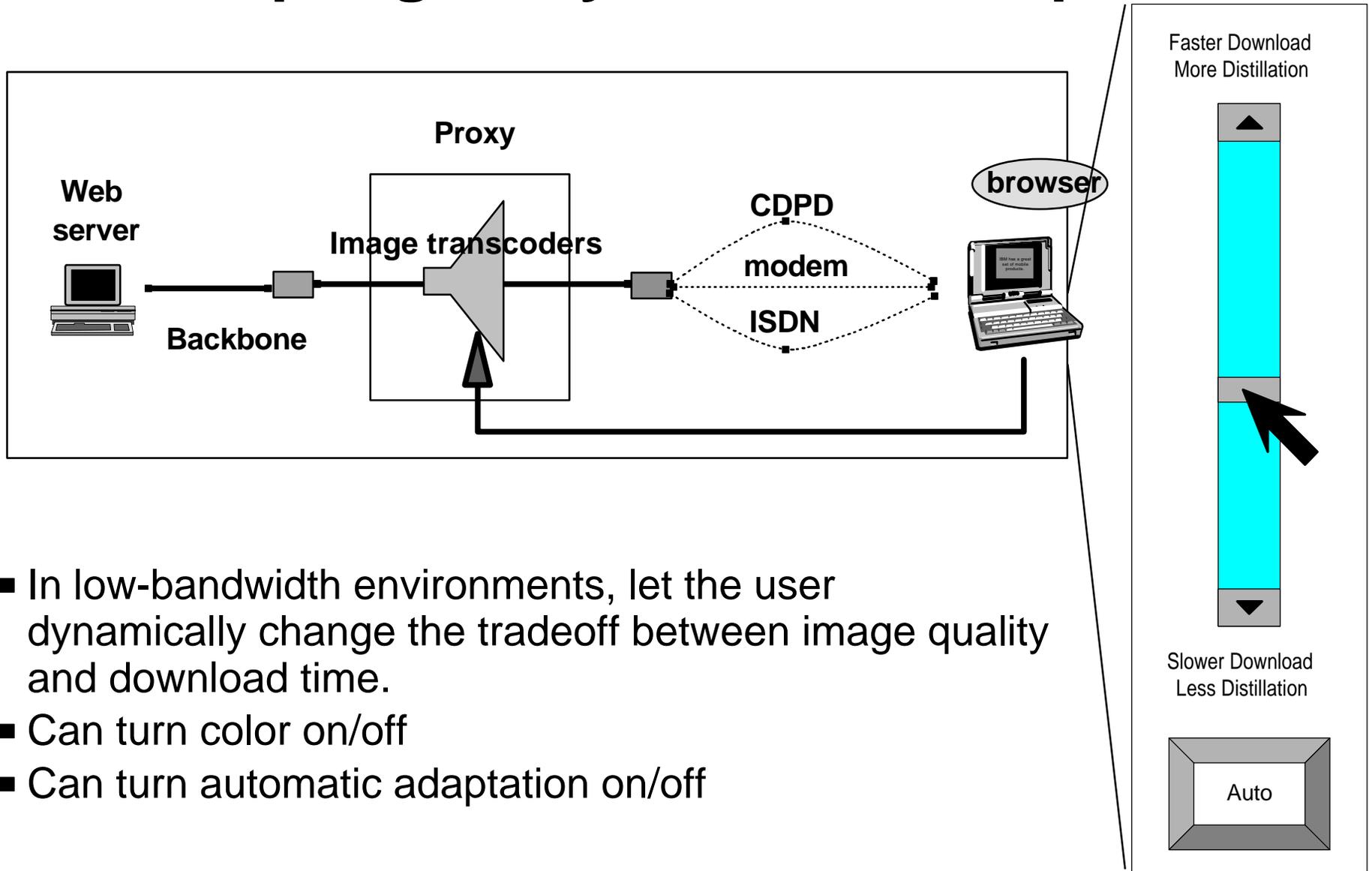


Example parameters:  
"AnyImage" library,  
200 MHz Pentium Pro, Windows NT,  
JPEG quality = 5.

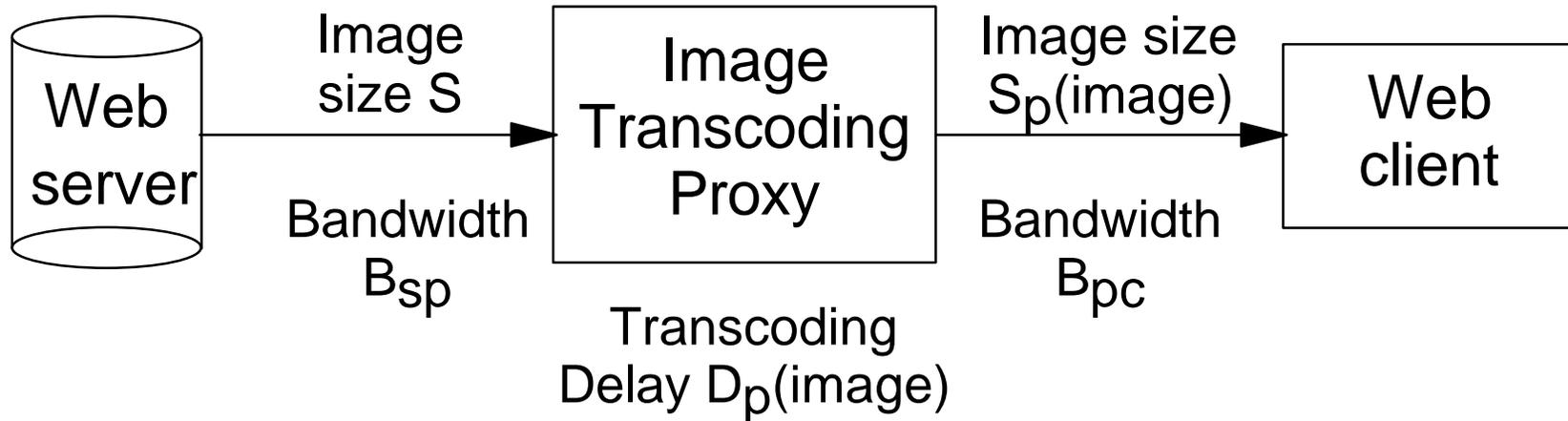
# Transcoding Proxy Architecture



# Adapting to Dynamic User Input



# Store-and-Forward Image Transcoding



Definition: A *store-and-forward* image transcoder must wait to transcode until the whole image is received and must wait to transmit until transcoding is completed.

$$t_{no\ proxy} = S/\min(B_{pc}, B_{sp}) + D_{prop+queue}$$

$$t_{proxy} = \underbrace{S/B_{sp}}_{\substack{\text{S/F} \\ \text{delay}}} + \underbrace{D_p(\text{image})}_{\substack{\text{transcoding} \\ \text{delay}}} + \underbrace{S_p(\text{image})/B_{pc}}_{\substack{\text{output transmission} \\ \text{delay}}} + D_{prop+queue}$$

# To Transcode or Not To Transcode

Only transcode when  $t_{proxy} < t_{no\ proxy}$  :

$$S/B_{sp} + D_p(image) + S_p(image)/B_{pc} < S/\min(B_{pc}, B_{sp})$$

Case I:  $B_{sp} < B_{pc}$  (server-proxy link is bottleneck)

=> Never transcode when Internet backbone is the bottleneck!

Case II:  $B_{pc} < B_{sp}$  (proxy-client link is bottleneck)

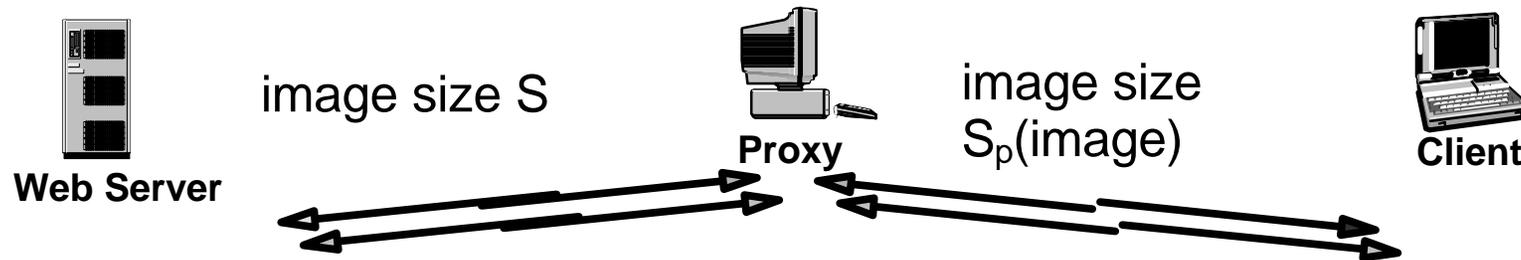
=> Only transcode when

$$D_p(image) + S_p(image)/B_{pc} < S^*(1/B_{sp} - 1/B_{pc})$$

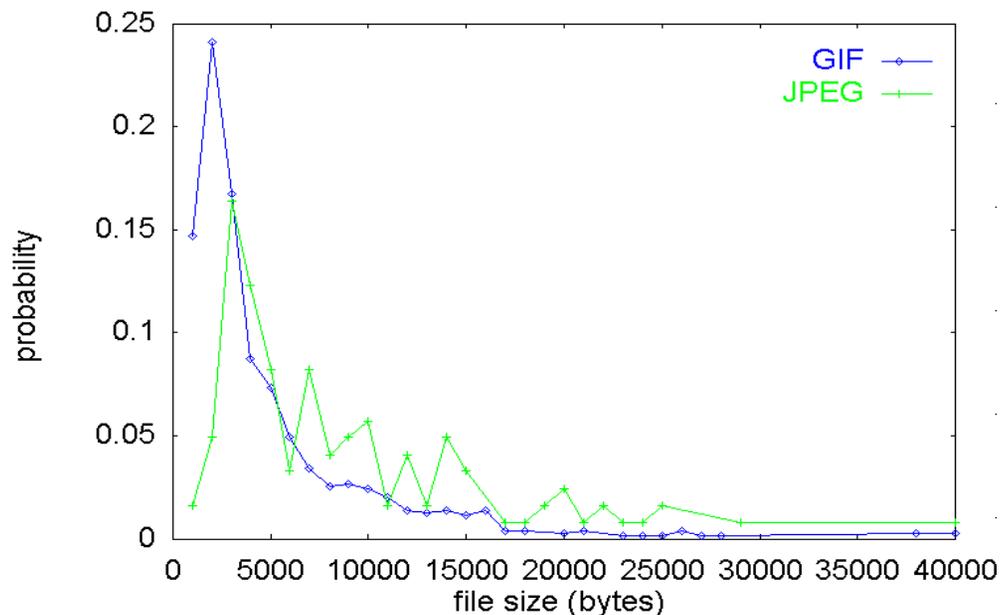
# Predicting the Output Image Size

- $S_p(\text{image})$  depends upon image content, image dimensions, image input size, transcoding parameters, compression algorithm
- prediction occurs before transcoding => get info from
  - ▶ image headers
  - ▶ probabilistic distributions (image content)

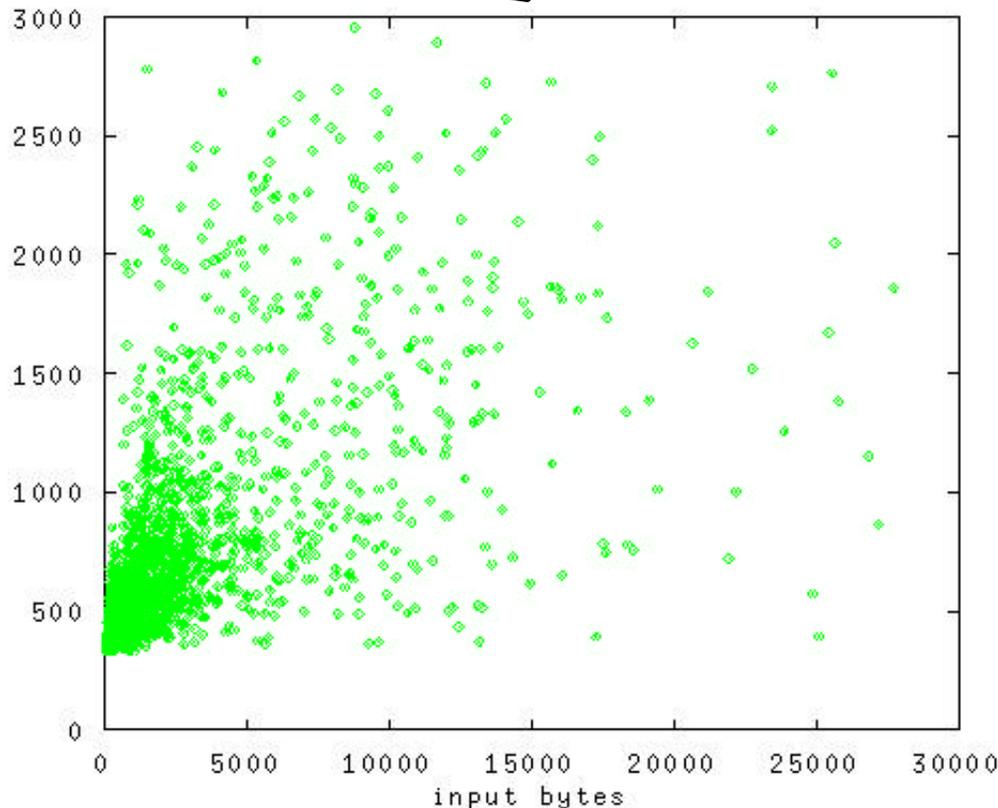
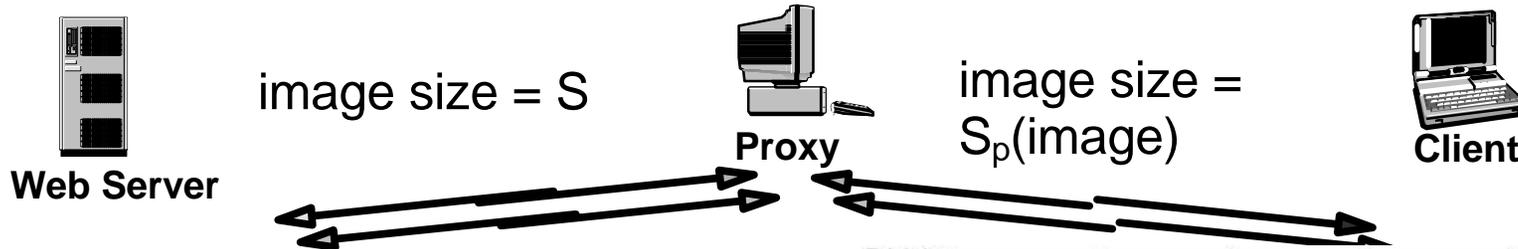
# Experimental Description



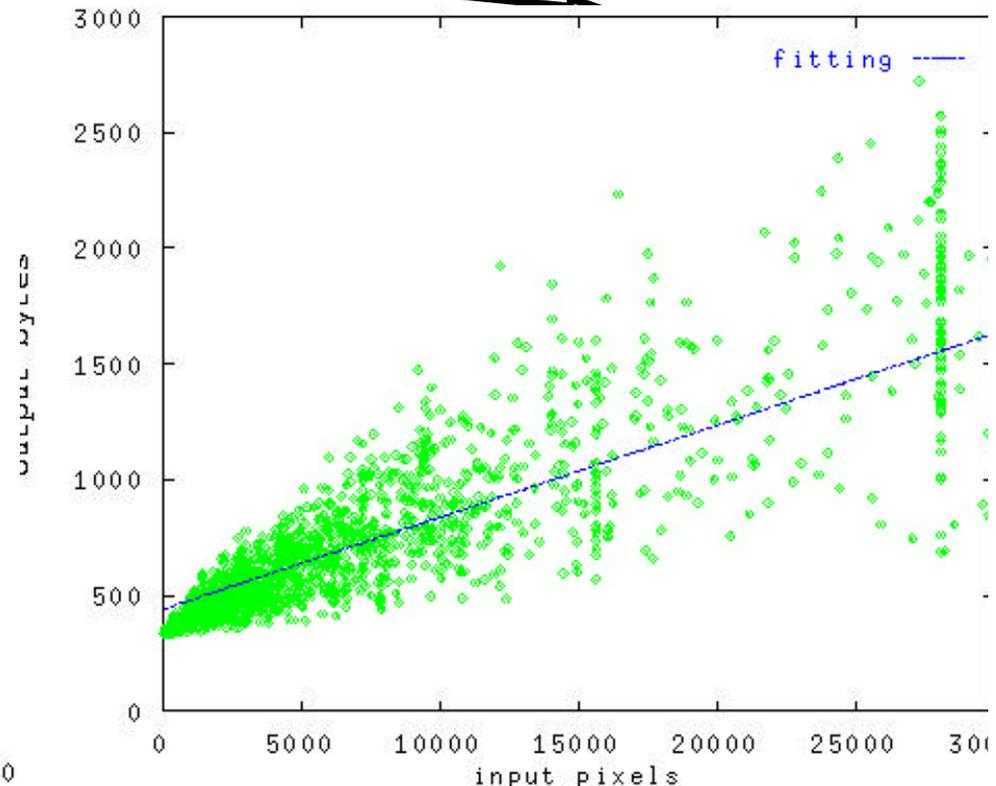
- Sample characteristics:
  - ▶ Obtained by visiting top 100 web sites.
  - ▶ 1074 GIFs (ave. of 2724 bytes), 123 JPEGs (ave. of 4697 bytes).
- Platform:
  - ▶ 200 MHz Pentium Pro proxy running Windows NT.
  - ▶ "Anymain" image library.



# Predicting the Output Image Size

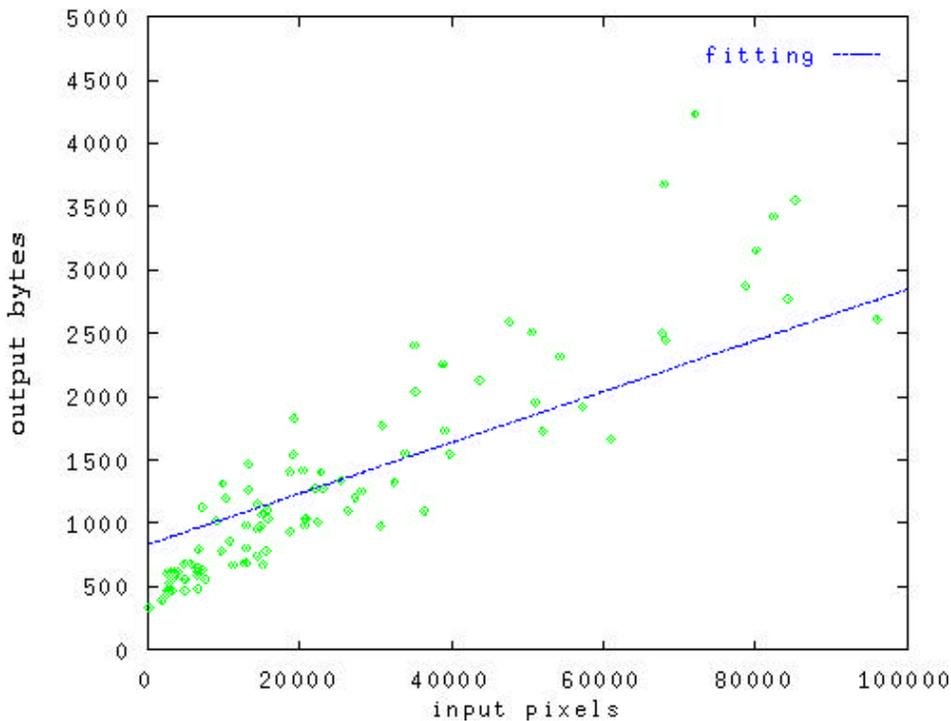
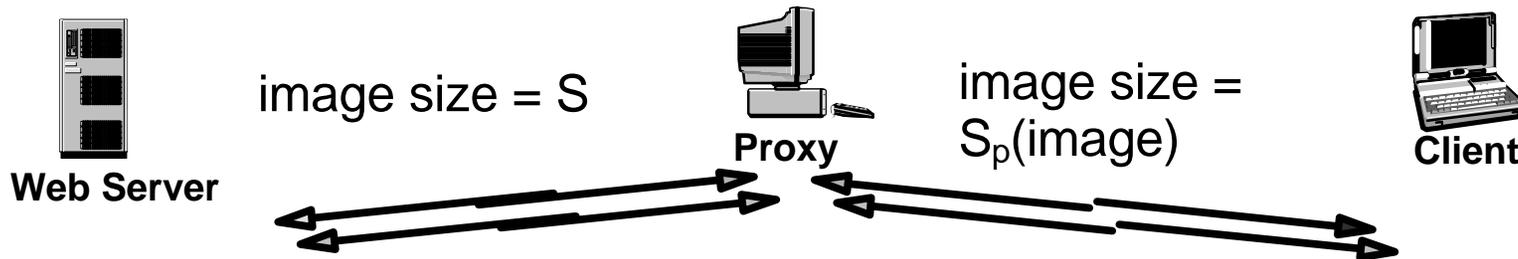


- $S_p=f(\text{input bytes})$ , GIF-to-JPEG,  $q=5$ ,  $\rho=0.54$

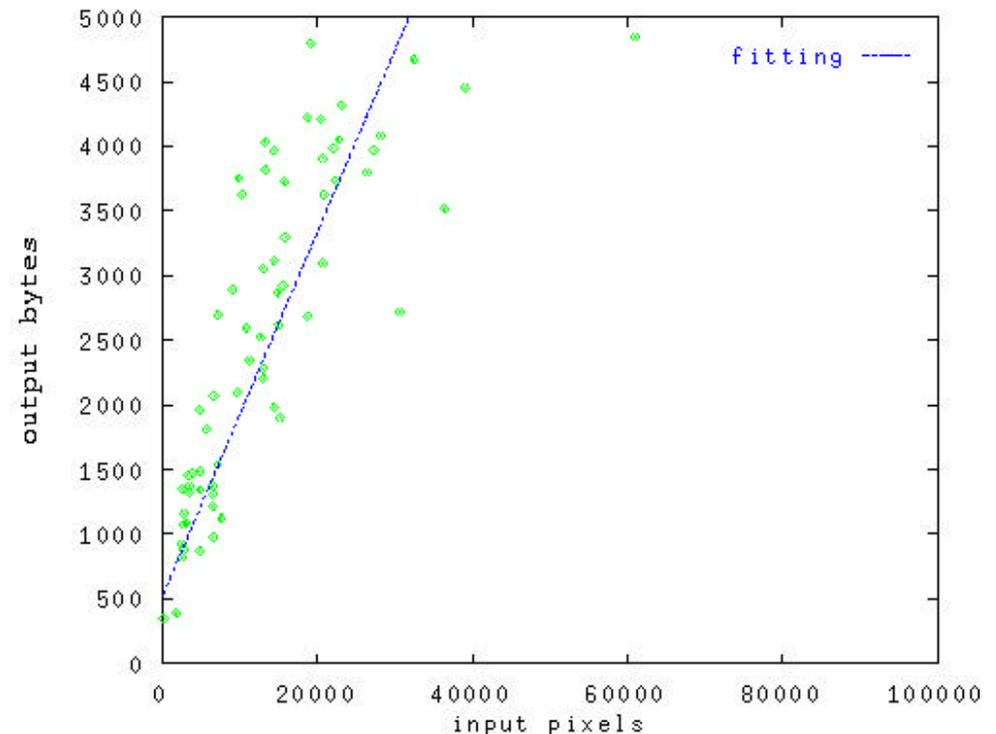


- $S_p=f(\text{input pixels})$ , GIF-to-JPEG,  $q=5$ ,  $\rho=0.90$

# Predicting the Output Image Size



- $S_p=f(\text{input pixels})$ , JPEG-to-JPEG,  $q=5$ ,  $\rho=0.94$

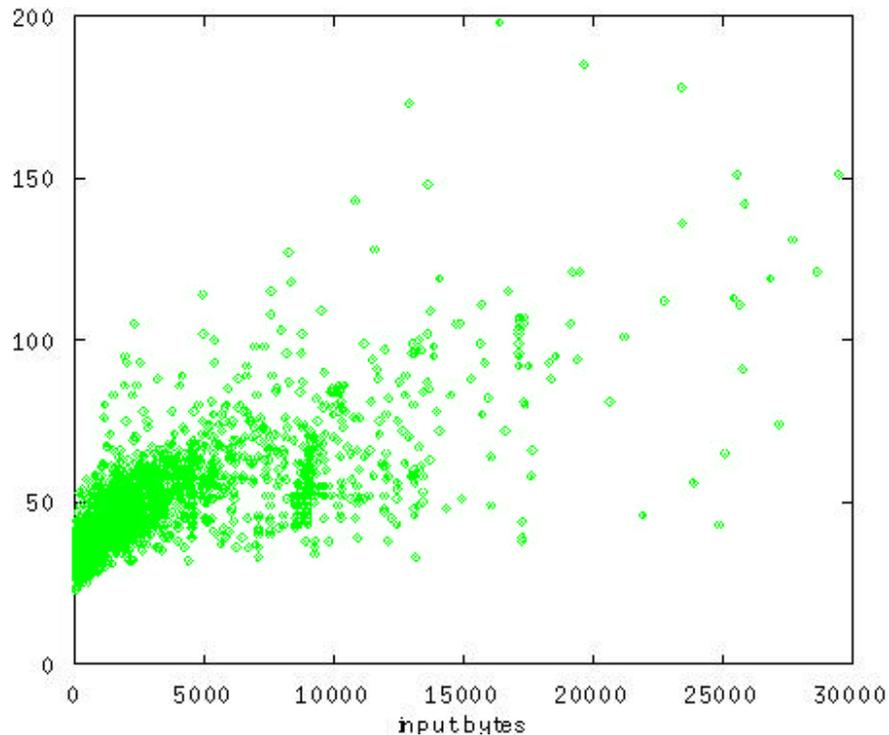
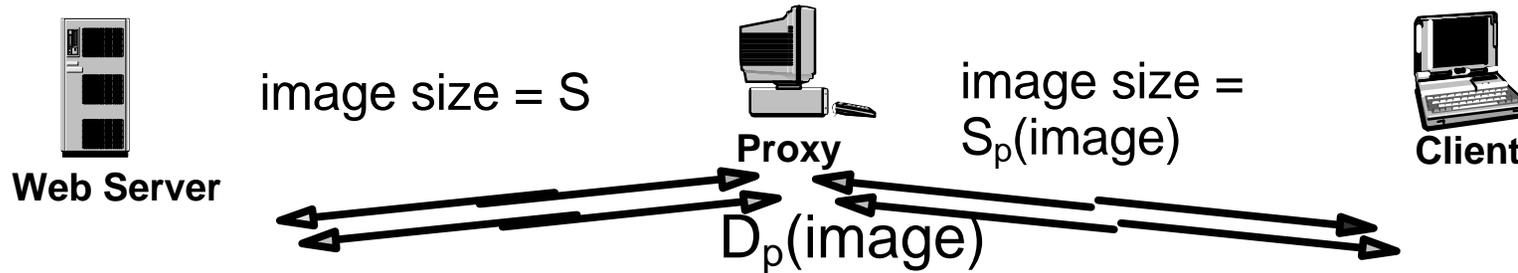


- $S_p=f(\text{input pixels})$ , JPEG-to-JPEG,  $q=50$ ,  $\rho=0.92$

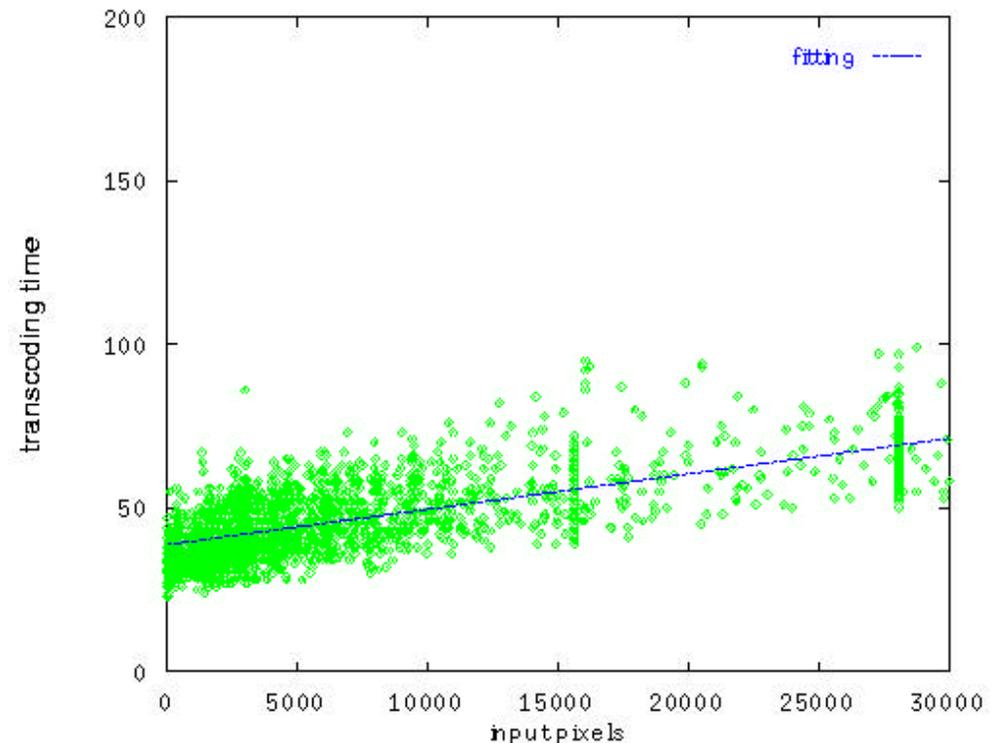
# Predicting the Image Transcoding Delay

- $D_p(\text{image}) = D_{\text{image transformations}} + D_{\text{CPU}}$
- $D_{\text{image transformations}}$  depends on input size, image dimensions, image content, transcoding parameters, decompression & compression algorithms, implementation efficiency of image library
  - use image headers and statistical analysis to predict the image processing delay
- $D_{\text{CPU}}$  depends on CPU bandwidth/other processes/threads

# Predicting the Image Transcoding Delay

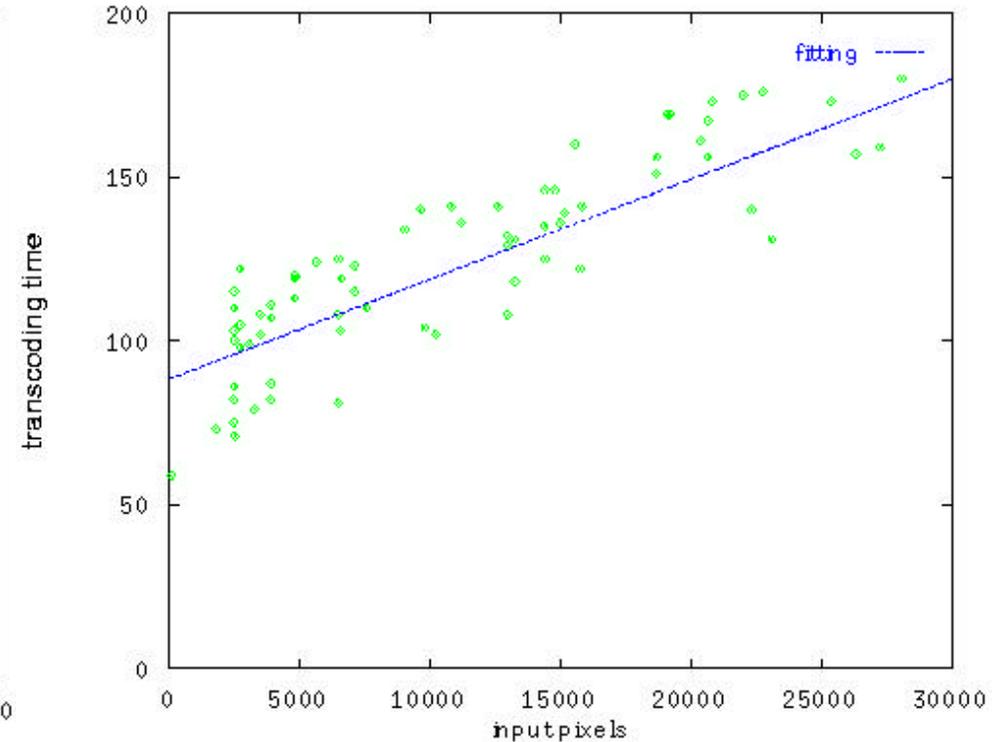
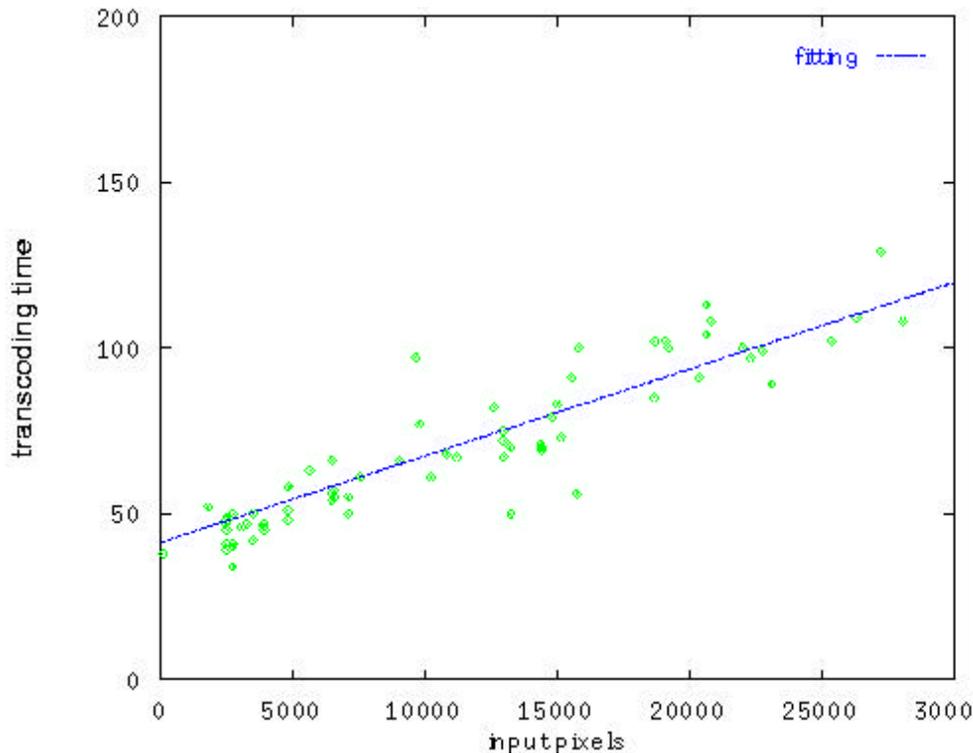
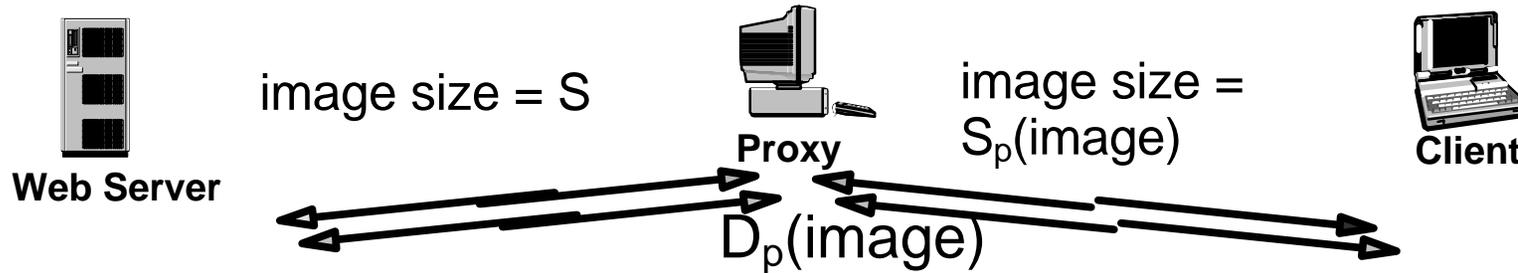


- $D_p=f(\text{input bytes}), \text{GIF-to-JPEG}, q=5, \rho=0.65$



- $D_p=f(\text{input pixels}), \text{GIF-to-JPEG}, q=5, \rho=0.82$

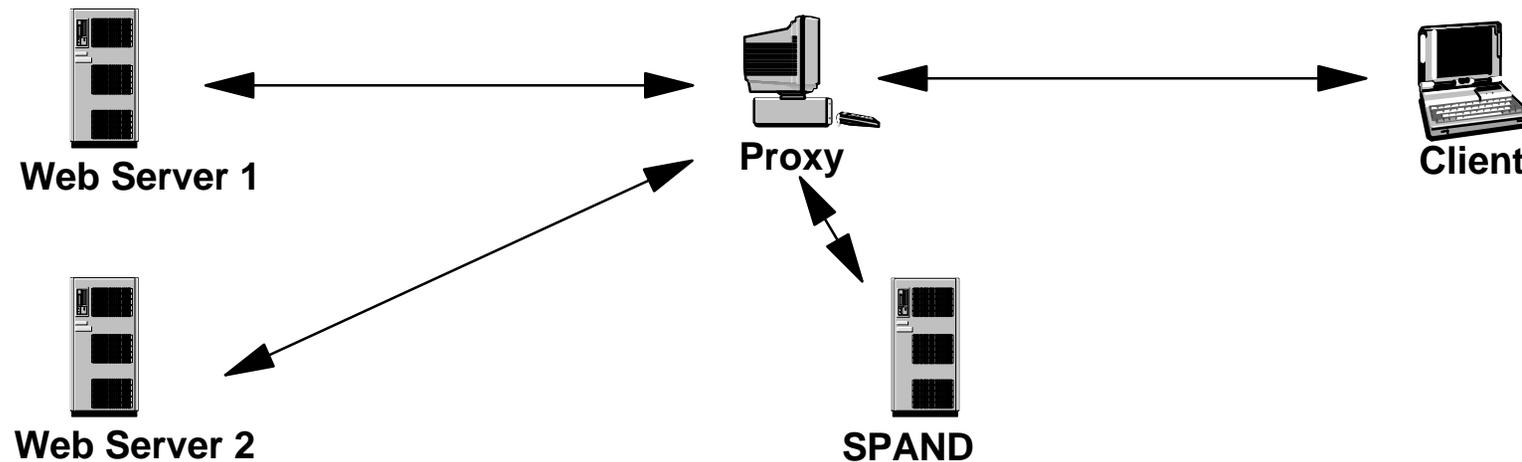
# Predicting the Image Transcoding Delay



- $D_p=f(\text{input pixels}), \text{JPEG-to-JPEG}, q=5, \rho=0.98$

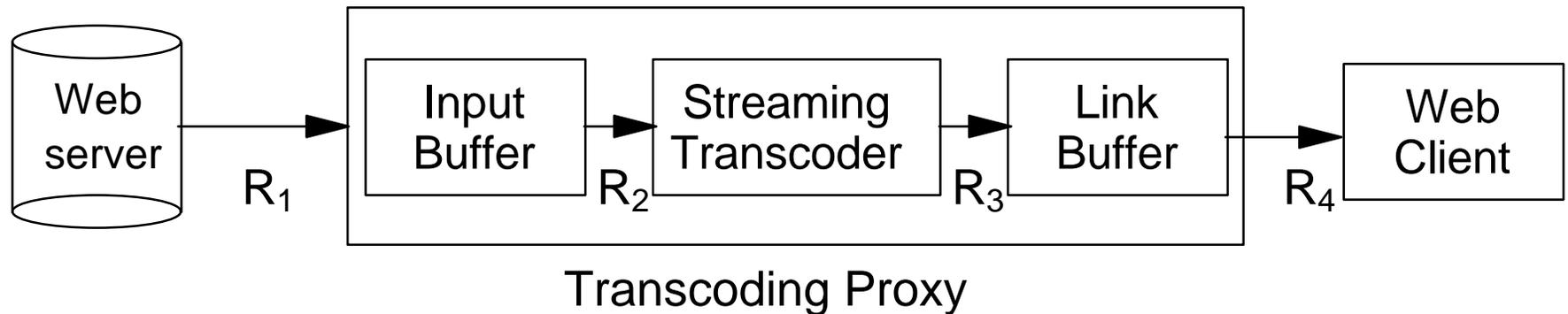
- $D_p=f(\text{input pixels}), \text{JPEG-to-JPEG}, q=50, \rho=0.98$

# Bandwidth Estimation



- NetDyn: passive network monitoring
- Client-proxy link bandwidth estimation (long-lived)
- Proxy-server link bandwidth estimation (transient)
- Querying for SPAND-like statistics

# Streamed Image Transcoding



- **Definition:** A *streamed* image transcoder can begin writing out transcoded image data before having fully read in an image.

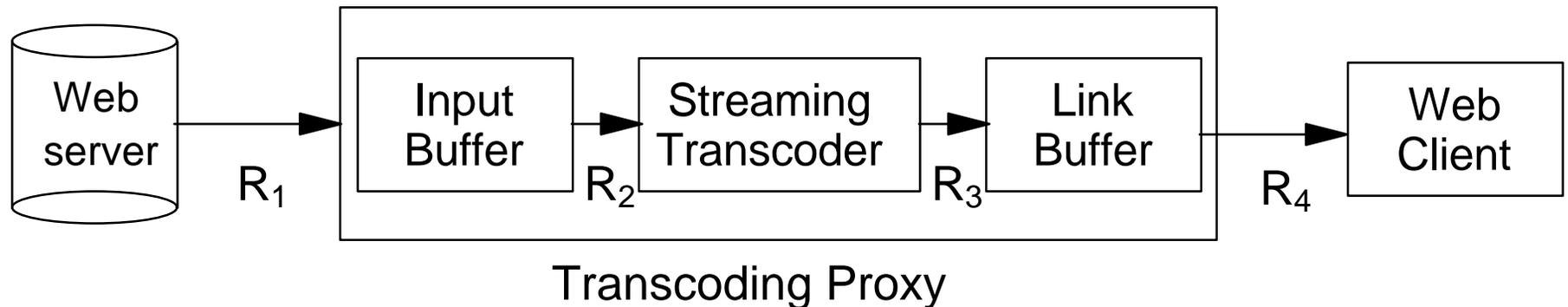
$R_1$  = image rate into input buffer

$R_2$  = image rate at which streaming transcoder empties input buffer

$R_3$  = transcoded image rate into link buffer

$R_4$  = image transmission rate out of link buffer

# To Transcode or Not To Transcode Redux



- Condition I: Don't overflow the input buffer

$$R_2 > R_1 \Rightarrow S/D_p(\text{image}) > B_{sp}$$
$$\Rightarrow D_p(\text{image}) < S/B_{sp}$$

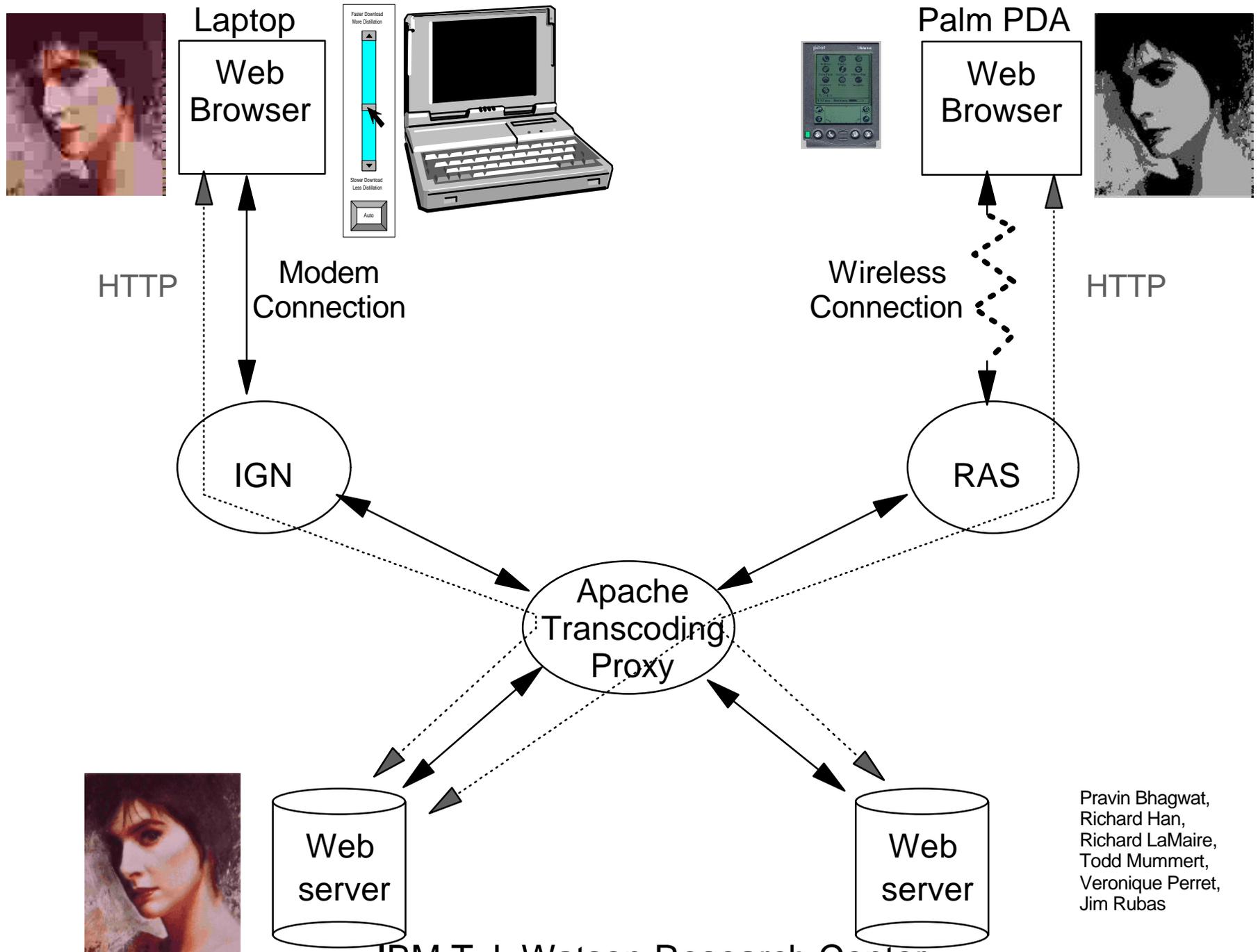
- Condition II: Don't overflow the output link's buffer

$$R_4 > R_3, \text{ and image rate @ } R_3 = \text{image rate @ } R_1$$
$$\Rightarrow B_{pc} > S_p(\text{image})/[S/B_{sp}]$$
$$\Rightarrow \gamma > B_{sp}/B_{pc} \quad , \text{ compression ratio } \gamma = S/S_p(\text{image})$$

# Streamed Image Transcoding (cont.)

- Only transcode when Conditions I and II hold:
  - (I)  $D_p(\text{image}) < S/B_{sp}$
  - (II)  $\gamma > B_{sp}/B_{pc}$
- If  $B_{sp} < B_{pc}$  (server-proxy link is bottleneck)  
=> Condition II always satisfied. Only have to test Condition I.
- If  $B_{pc} < B_{sp}$  (proxy-client link is bottleneck)  
=> Both Conditions I and II must be evaluated.

# Reality: Transcoding Proxy Implementation



Pravin Bhagwat,  
Richard Han,  
Richard LaMaire,  
Todd Mummert,  
Veronique Perret,  
Jim Rubas

# Current Store-and-Forward Transcoding Policies

```
if to laptop
  if (input size > 1000 bytes)
    if input is GIF
      if well-compressed GIF
        GIF->GIF as f(user pref.)
      else
        GIF->JPEG as f(user pref.)
    else
      JPEG->JPEG as f(user pref.)
  if (output > input size)
    send original image
  else
    send transcoded image
else /*to Palm*/
  GIF/JPEG->Palm as f(user pref.)
```

## Properties

\* adapts to user preferences and client device

\* adapts to network bandwidth in a static sense

\* currently does not predict image delay or output size

# Why GIF Is Well-Suited to Compressing "Graphics"



- GIF based on LZW:
  - Build dictionary by scanning pixel rows
  - add a new word = matched word + 1 character/pixel
  - send offsets into dictionary
- When there are few colors, you get long runs of the same color/pattern, and GIF compresses well.
- When there are many colors, there are few long patterns, so GIF's don't compress as well.

# Why JPEG Is Well-Suited to Compressing a Natural Image



- JPEG has 3 stages:

DCT -> quantization -> lossless (RL + Huffman or arithmetic)

DCT concentrates info in low frequency coefficients, so quantization tends to remove high-frequency coefficients

- Natural images often consist of mostly low-frequency/variation, or unimportant high-frequency background, so quantization doesn't hurt quality, and achieves high compression
- Graphical images have much high-frequency information, so JPEG hurts their reconstructed quality.

# JPEG Image Quality Reduction Example



Original: 20,796 bytes



Quality factor = 5: 2525 bytes  
12.1% of original



Quality factor = 35: 6,993 bytes  
33.6% of original



Grayscale and  
Quality factor = 5: 1,886 bytes  
9.1% of original

# Rationale for Transcoding Policies

- ```
if to laptop
  if (input size > 1000 bytes)
    if input is GIF
      if well-compressed GIF
        GIF->GIF as f(user pref.)
      else
        GIF->JPEG as f(user pref.)
    else
      JPEG->JPEG as f(user pref.)
  if (output > input size)
    send original image
  else
    send transcoded image
else /*to Palm*/
  GIF/JPEG->Palm as f(user pref.)
```
- Static evaluation of store-and-forward inequality:  
$$S/B_{sp} + D_p(image) + S_p(image)/B_{pc} < S/\min(B_{pc}, B_{sp})$$
  - fix  $B_{sp}=1$  Mb/s,  $B_{pc}=50$  kb/s,  $D_p=40$  ms+ $\Delta$ ,  $S_p=\alpha S$   
 $\Rightarrow S > (263 + \Delta') / (1 - \alpha)$   
 $\Rightarrow \sim 800$  byte lower limit  
( $\alpha=0.5$ )

# Rationale for Transcoding Policies

```
if to laptop
  if (input size > 1000 bytes)
    if input is GIF
      if well-compressed GIF
        GIF->GIF as f(user pref.)
      else
        GIF->JPEG as f(user pref.)
    else
      JPEG->JPEG as f(user pref.)
  if (output > input size)
    send original image
  else
    send transcoded image
else /*to Palm*/
  GIF/JPEG->Palm as f(user pref.)
```

- GIF->GIF: If an image is already *well-compressed* in GIF format, e.g. graphics, then converting to JPEG would likely expand the image and reduce quality.

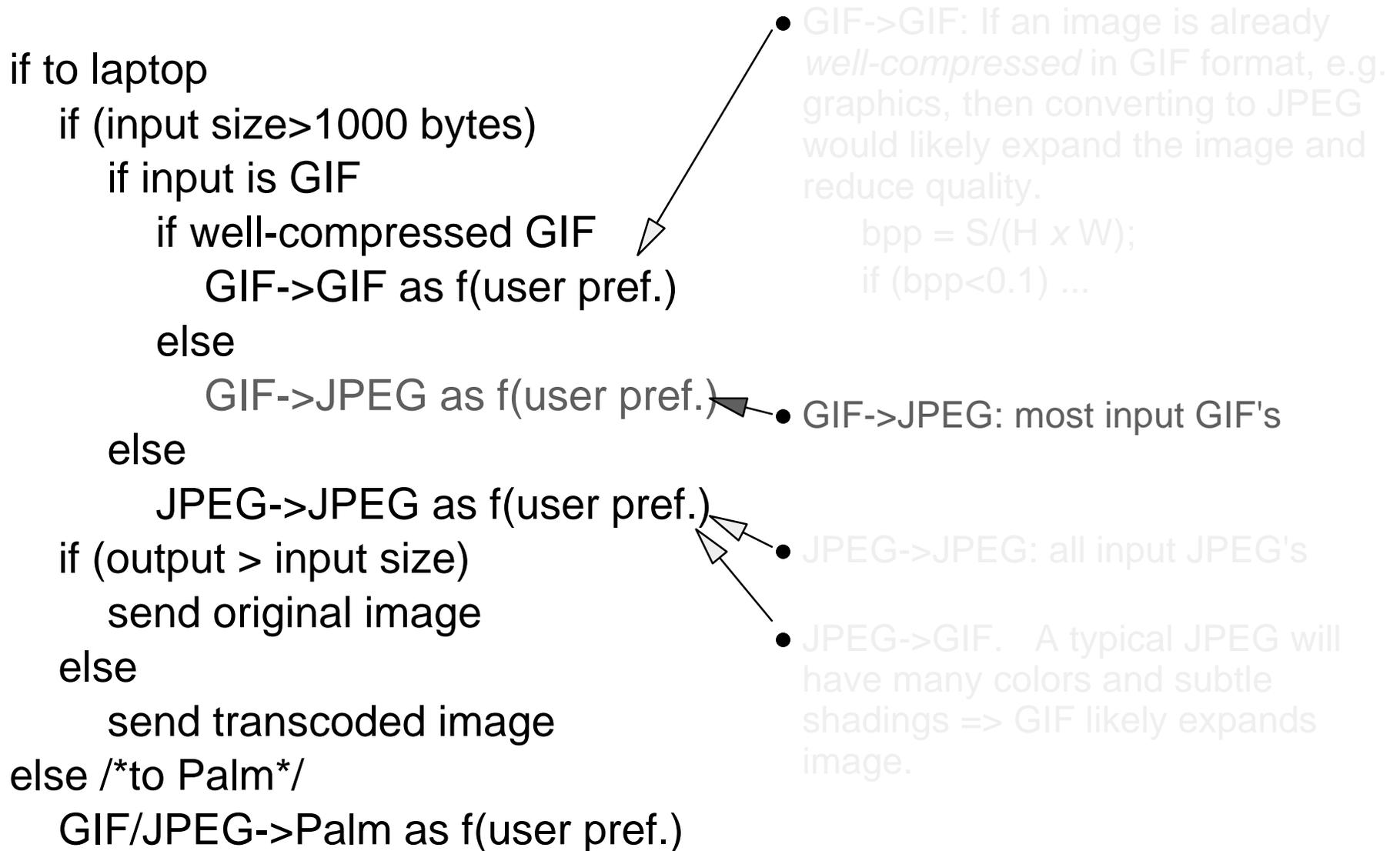
$bpp = S / (H \times W)$ ;  
if ( $bpp < 0.1$ ) ...

- GIF->JPEG: most input GIF's

- JPEG->JPEG: all input JPEG's

- JPEG->GIF. A typical JPEG will have many colors and subtle shadings => GIF likely expands image.

# Rationale for Transcoding Policies



# Rationale for Transcoding Policies

```
if to laptop
  if (input size > 1000 bytes)
    if input is GIF
      if well-compressed GIF
        GIF->GIF as f(user pref.)
      else
        GIF->JPEG as f(user pref.)
    else
      JPEG->JPEG as f(user pref.)
  if (output > input size)
    send original image
  else
    send transcoded image
else /*to Palm*/
  GIF/JPEG->Palm as f(user pref.)
```

• GIF->GIF: If an image is already *well-compressed* in GIF format, e.g. graphics, then converting to JPEG would likely expand the image and reduce quality.

$bpp = S / (H \times W)$ ;  
if ( $bpp < 0.1$ ) ...

• GIF->JPEG: most input GIF's

• JPEG->JPEG: all input JPEG's

• JPEG->GIF. A typical JPEG will have many colors and subtle shadings => GIF likely expands image unless we can find fast colormap reduction.

# Other Transcoding Proxies

- UCB/ProxiNet - real-time transcoding proxy for small clients (Pythia supported PC's/laptops)
- Spyglass' Prism - real-time transcoding proxy for small clients (text and images)
- AvantGo 2.0 - hot-synch proxy for small devices, possibly off-line transcoding
- Intel's QuickWeb - defunct, real-time transcoding proxy for PC's/laptops, light transcoding
- 3COM's "Web clipping" proxy for Palm VII (no images?)

# Other Research Issues

- Integrating caching proxies with transcoding
- Split browser paradigm
- Scalability/Load-Balancing
- Security

# Summary

- Derived theoretical conditions determining when proxies should adaptively transcode images
  - Store-and-forward
  - Streaming
- Presented a set of practical transcoding policies that are statically adaptive
- Paper: "*Dynamic Adaptation In An Image Transcoding Proxy For Mobile Web Browsing*", December 1998, *IEEE Personal Communications Magazine*. Contact: rhan@watson.ibm.com.